

**AVAX APPARELS AND ORNAMENTS LIMITED**

(formerly known as Avax Soft Technologies Limited)

**Regd. Office:** 611, 6th Floor, Jaina Tower II, Distt Center,  
Janak Puri, New Delhi 110058,

**CIN:** L14101DL2005PLC137127; **Website:** www.avaxapparels.com

**E-mail:** Info@avaxapparels.com; **Mobile:** 9599497767

**25<sup>th</sup> May, 2025**

To,  
The Department of Corporate Services,  
BSE Limited,  
20<sup>th</sup> Floor, P.J.Towers,  
Dalal Street,  
Mumbai – 400 001.

**Scrip Code: 544337 | Scrip Symbol: AVAX | ISIN: INEONQ401016**

**Sub: Structured Digital Database (SDD) Compliance Certificate as per Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2025 ("PIT Regulations") and for the year ended March 31, 2025 of Avax Apparels And Ornaments Limited ("the Company")**

Dear Sir/ Madam,

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2025 ("PIT Regulations") and BSE Circular No. 20241018-44 dated 18<sup>th</sup> October, 2024, the listed entities to whom the provisions of Regulation 24A of SEBI(LODR) Regulations, 2015 are not applicable, are required to confirm compliance status of SDD by submitting SDD Compliance Certificate in the prescribed format, certified by Practicing Company Secretary within 60 days from the end of the Financial Year.

Further, we hereby enclose the Compliance Certificate with respect to Structural Digital Database maintained by the Company as per the aforesaid Regulations issued by M/s. G Gupta and Associates, Peer Review Practicing Company Secretaries, for the year ended March 31, 2025.

Kindly consider this and take on record as a requisite disclosure under Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2025 as amended from time to time.

Thanking you,  
Yours faithfully,

**For and on behalf of the Board of Directors  
M/s Avax Apparels And Ornaments Limited**

**Shruti Jain  
(Company Secretary and Compliance Officer)  
M. No.: A52018**

**Enclosed:** As mentioned above.



# G GUPTA & ASSOCIATES

(A Peer Reviewed Firm)

( Company Secretaries & Consultant )

Office : A-4/8 First Floor Sector 15, Rohini Delhi 110089

Mobile : +91 9868668185, Email : gguptaandassociates@gmail.com

## COMPLIANCE CERTIFICATE FOR THE YEAR ENDED ON 31<sup>ST</sup> MARCH 2025

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Gaurav Gupta, Practicing Company Secretary appointed by M/s **AVAX APPARELS AND ORNAMENTS LIMITED** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the financial year have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 0 number of events during the quarter or financial year ended from the date of listing and has captured 0 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter or financial year from the date of listing and the remedial action(s) taken along with timelines in this regard: Nil

**Note: The Company has listed on 14<sup>th</sup> January 2025 on BSE SME Platform therefore audit was conducted for the period of 14.01.2025 to 31.03.2025.**

For G Gupta & Associates



**FCS Gaurav Gupta**  
**Practicing Company Secretary**  
**M No. F10940 CP No 15523**  
**Peer Certificate No 2010/2022**  
**UDIN F010940G000435144**

**Date: 26.05.2025**

**Place: Delhi**